

Frailty in older adults: implications for health care and clinical research

Kenneth Rockwood, MD, FRCPC, FRCP

Professor of Medicine (Geriatric Medicine & Neurology)

Dalhousie University, & Attending Staff Physician

Nova Scotia Health Authority, Halifax, NS, Canada

Medical Grand Rounds / Phyllis Gough Huffington Lecture

University of Texas Health,

McGovern Medical School, Houston

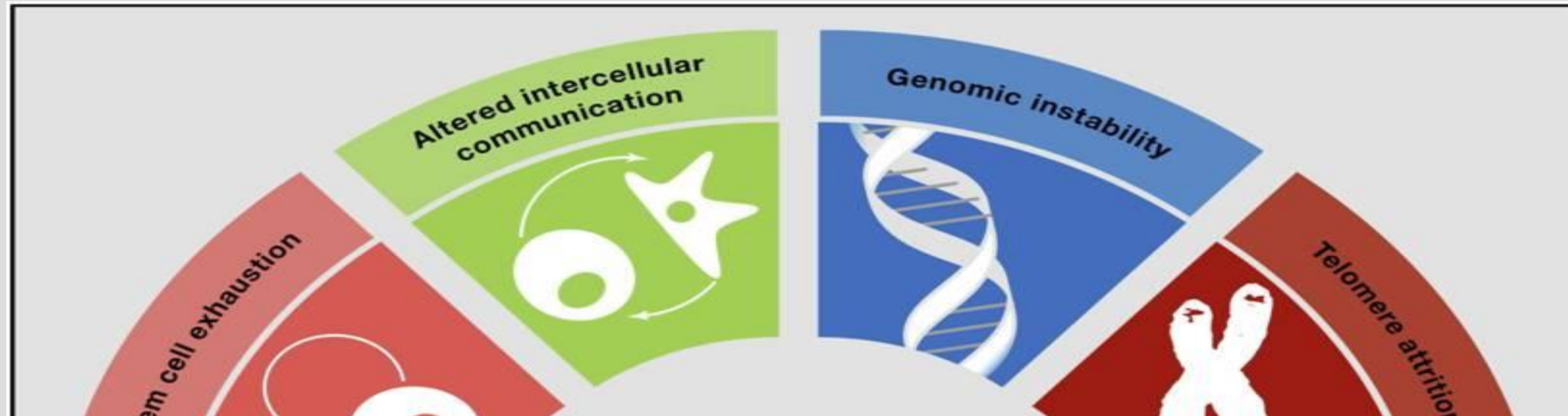
Disclosures

Through Dalhousie's Industry Liaison Office, I have asserted copyright of the **Clinical Frailty Scale**, which is made freely available for education, research & not-for-profit health care. Users are asked not to change or commercialize it.

I founded DGI Clinical Inc., which provides outcome measures & advanced data analytics to industry, chiefly pharma.

Objectives

- Is frailty a risk for dementia?
- Is it important that frailty is a risk for dementia?
- How should we approach dementia diagnosis in a person who is frail?
- Are there special considerations in diagnosing dementia in a person who is frail?



“The problems of old age come as a package”.

Fontana et al. *Nature* 2014;511(7510):405-7.



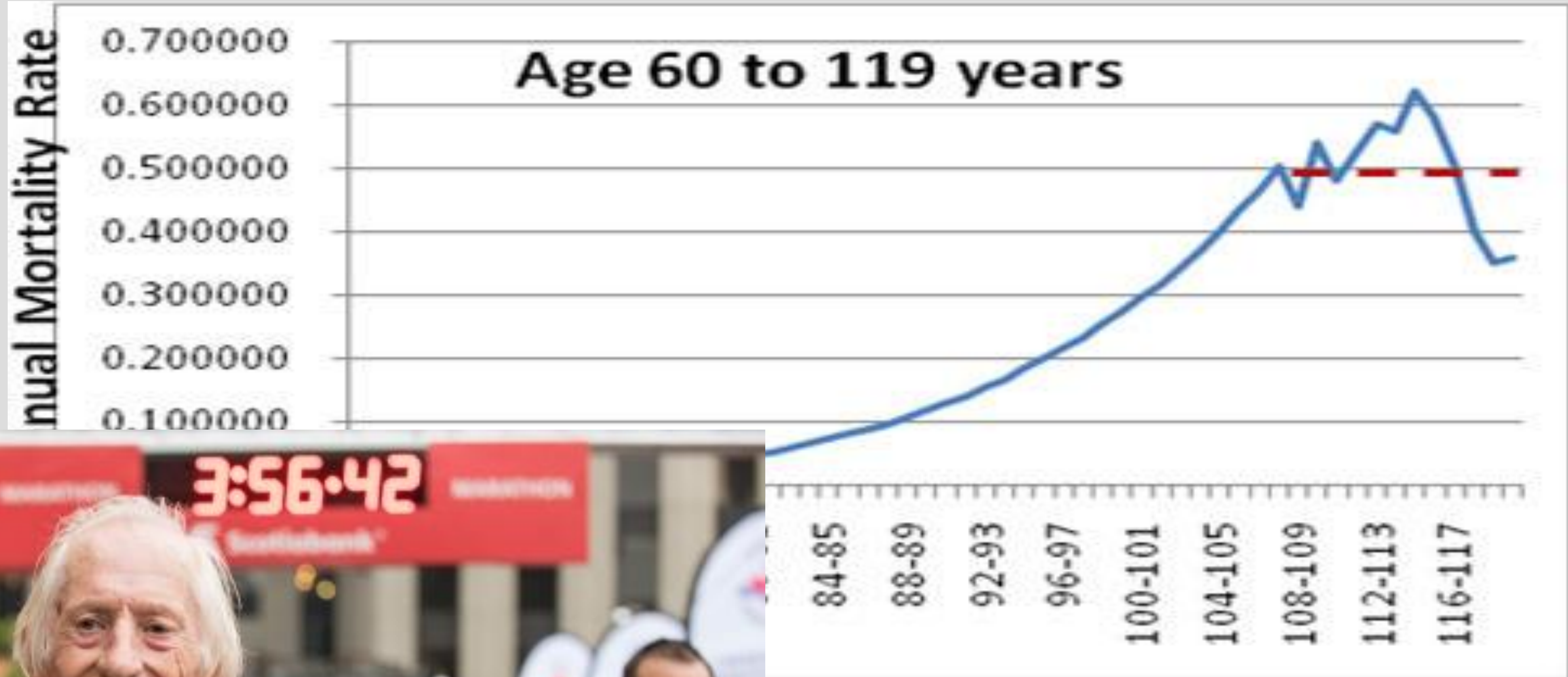
Frailty – the noun: unmeasured heterogeneity

Vaupel, J. Manton K,
St...
he...
fra...
m...
19...

visualphotos.com



42-19549582 [RF] © www.visualphotos.com

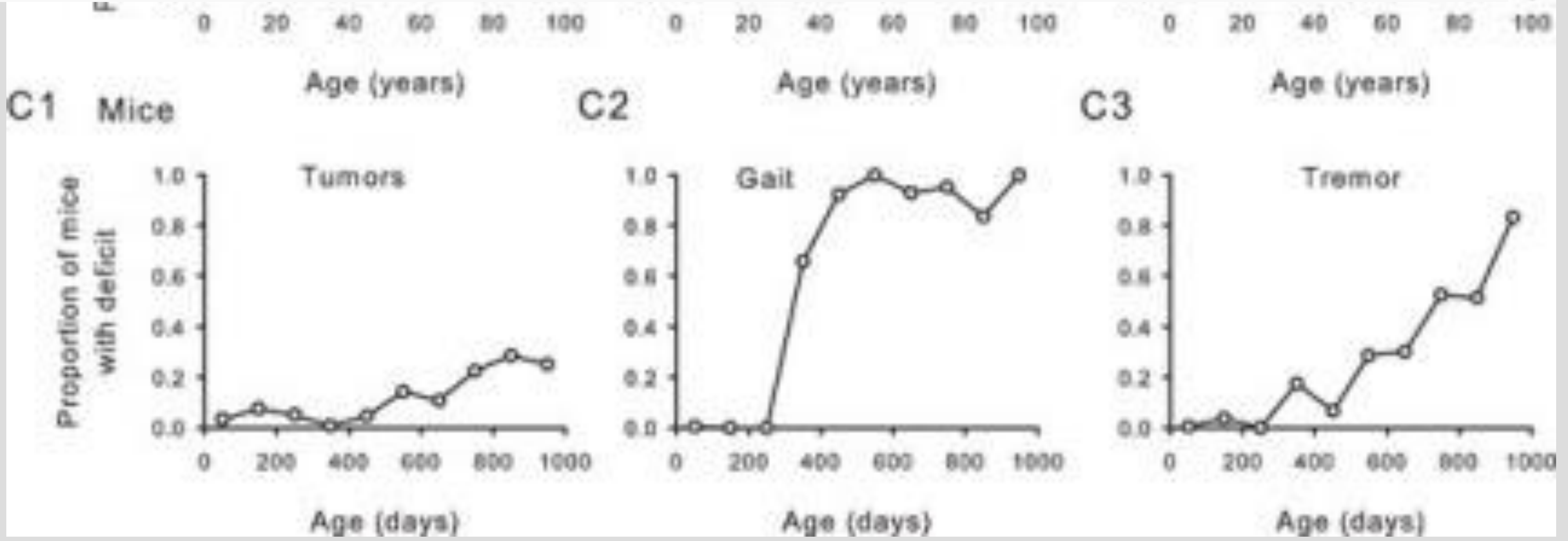
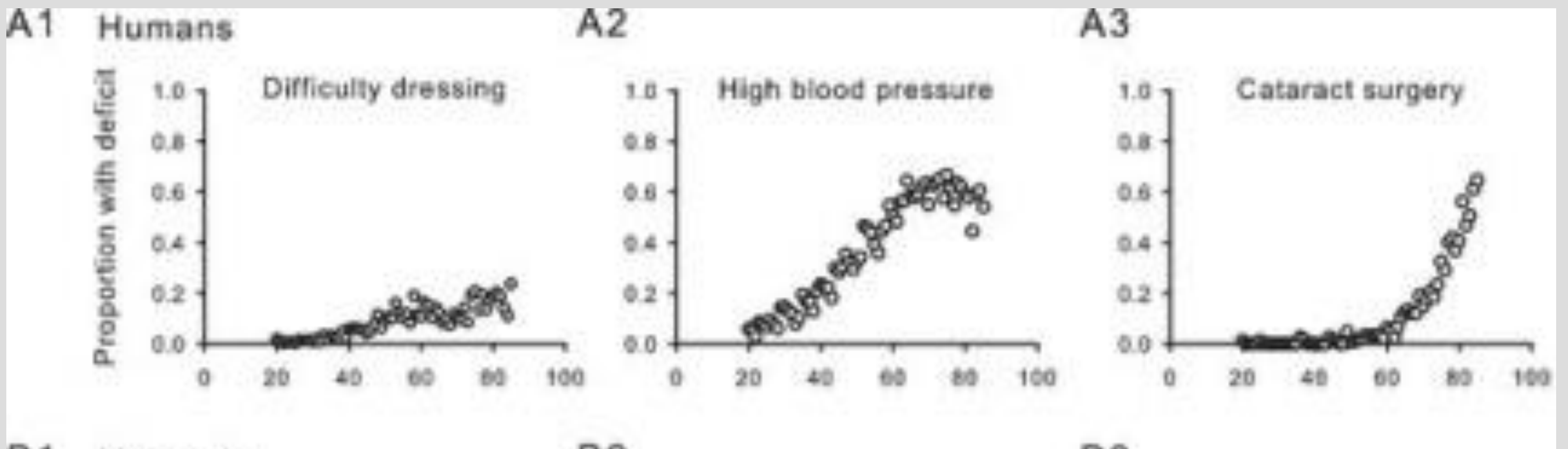


adjective: “Is this person
how can we tell?”
Journal of Gerontology 2016;32:1046-50.

Health deficits accumulate with age, in various patterns across species

“The problems of old age come as a package”.
Fontana et al. *Nature* 2014;511(7510):405-7.

Rockwood et al. *Sci Rep* 2017; Feb 21:7:42068



Deficit accumulation can be estimated with the Frailty Index

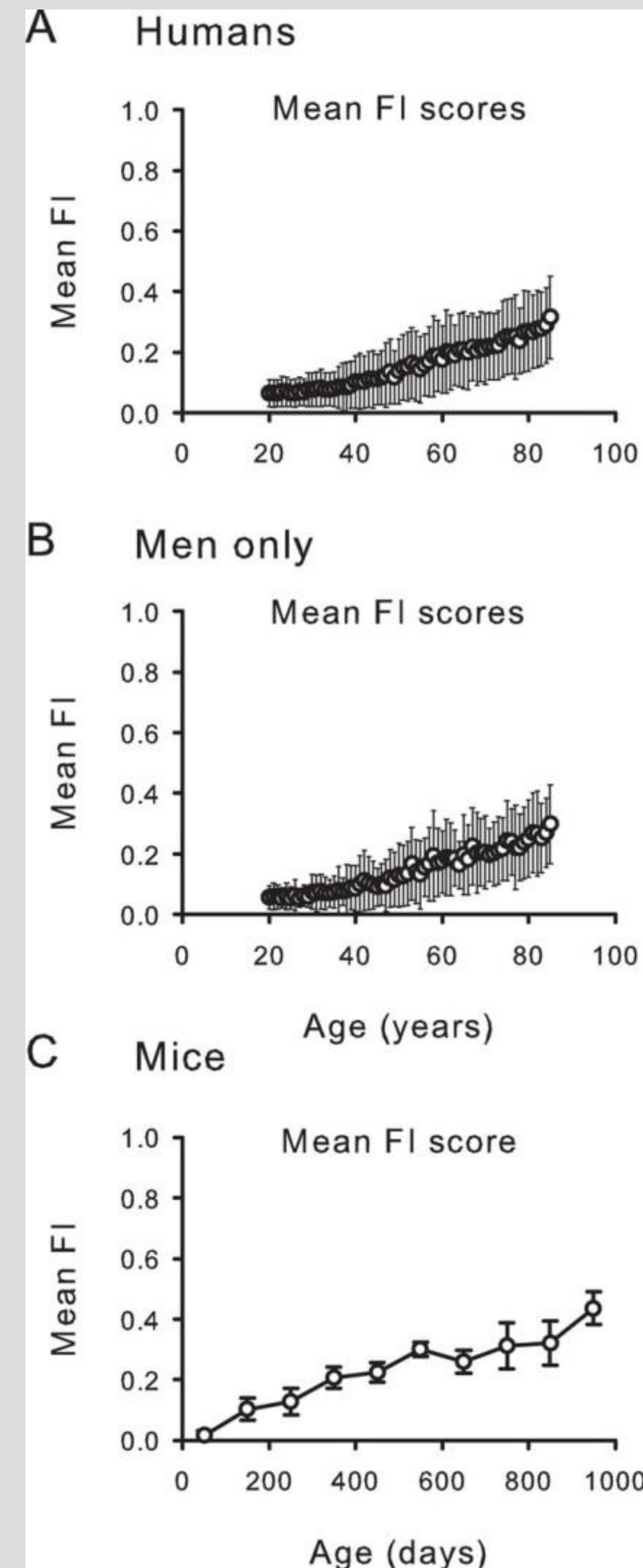
Frailty Index score = $\frac{\text{Number of deficits in an individual}}{\text{Total number of deficits measured}}$

e.g. in a dataset with 50 health deficits, a person with 10 things wrong (10 deficits) has a frailty index score of $10/50 = 0.20$.

Canevelli M et al., *Front Aging Neurosci* 24
Feb 2017 doi:10.33389/fnagi.2017.00036

Combined in a frailty index, the variable patterns of deficit accumulation show a steady increase with age

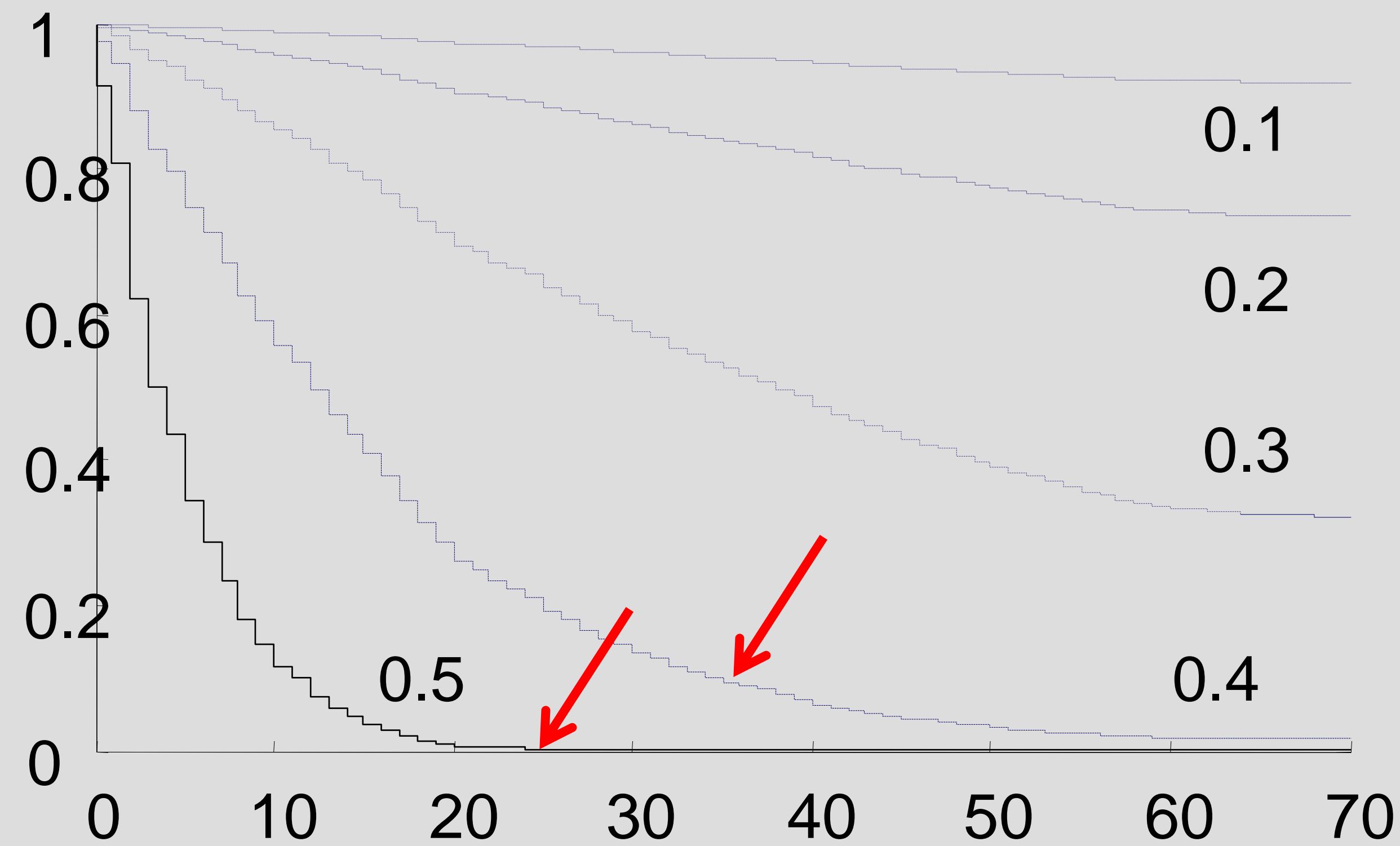
Rockwood et al., *Sci Rep* 2017
Feb 21;7:43068



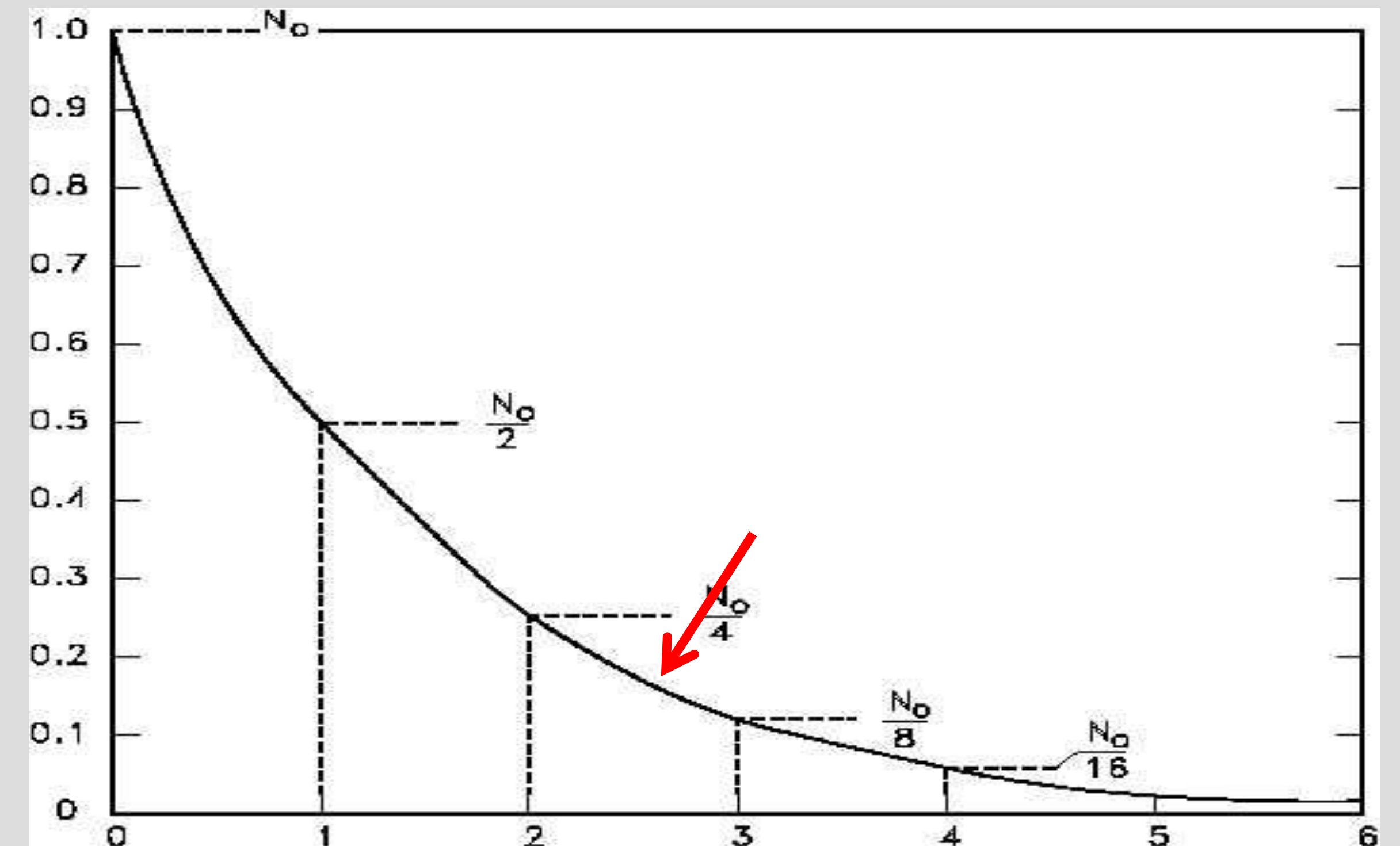
A Frailty Index based on a Comprehensive Geriatric Assessment identifies a group at the highest risk of dying.

FI-CGA

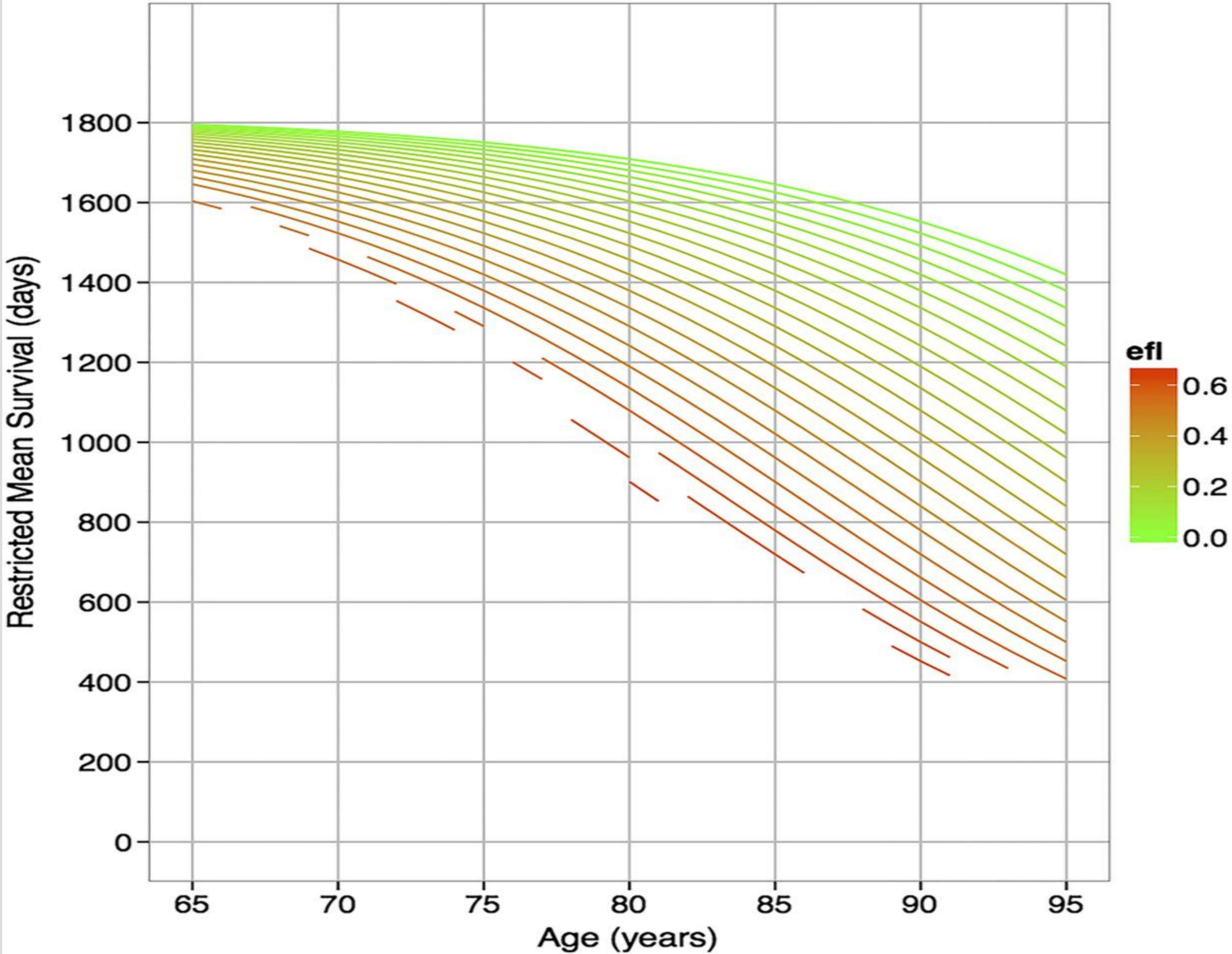
Survival probability



Survival time (months)



Relationship between age, electronic frailty index score and mortality.



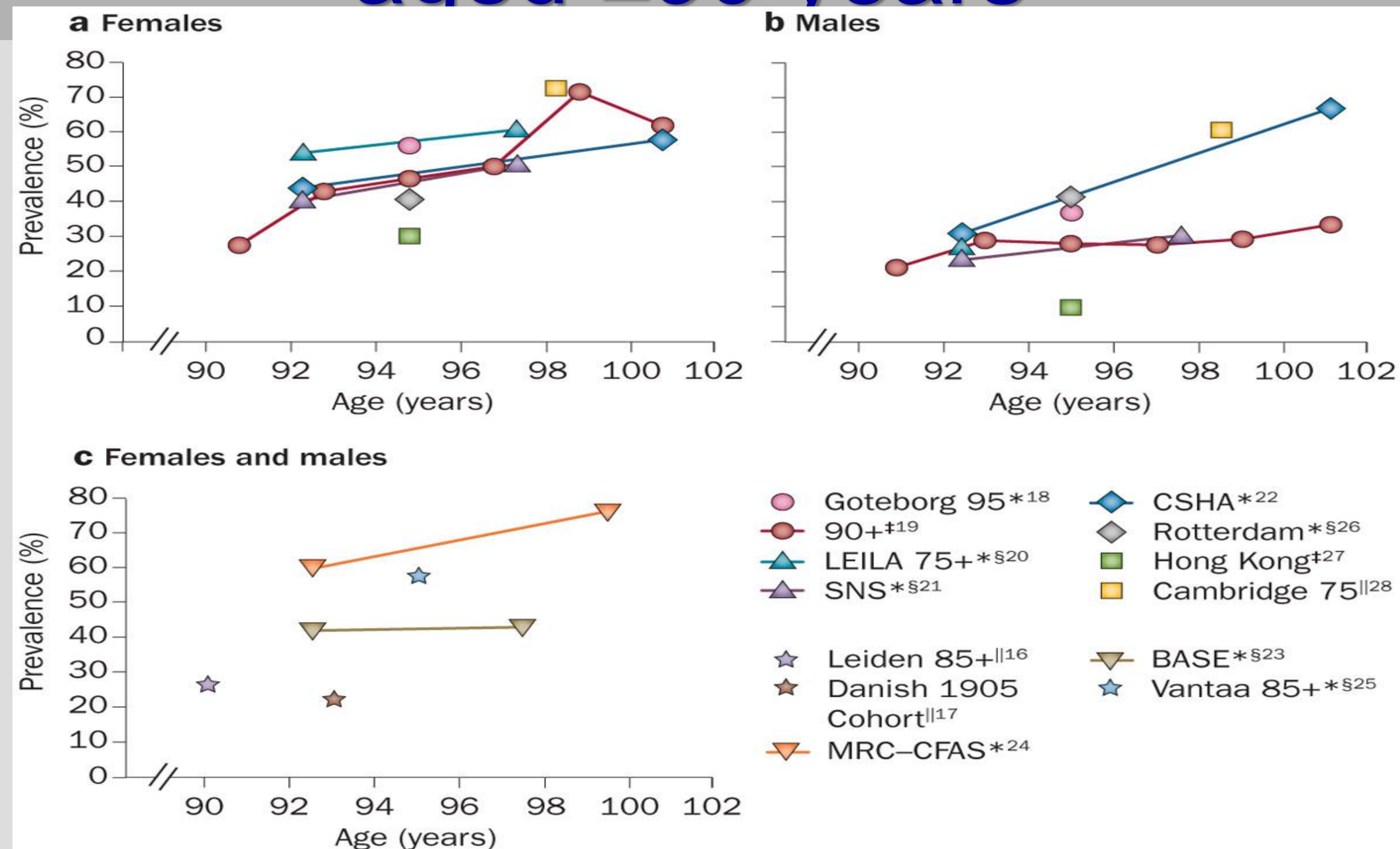
Andrew Clegg et al. Age Ageing 2016;ageing.afw039

What I've said so far

Frailty is a multiply determined risk state manifest as not everyone of the same age having the same risk of death (or other adverse outcomes).

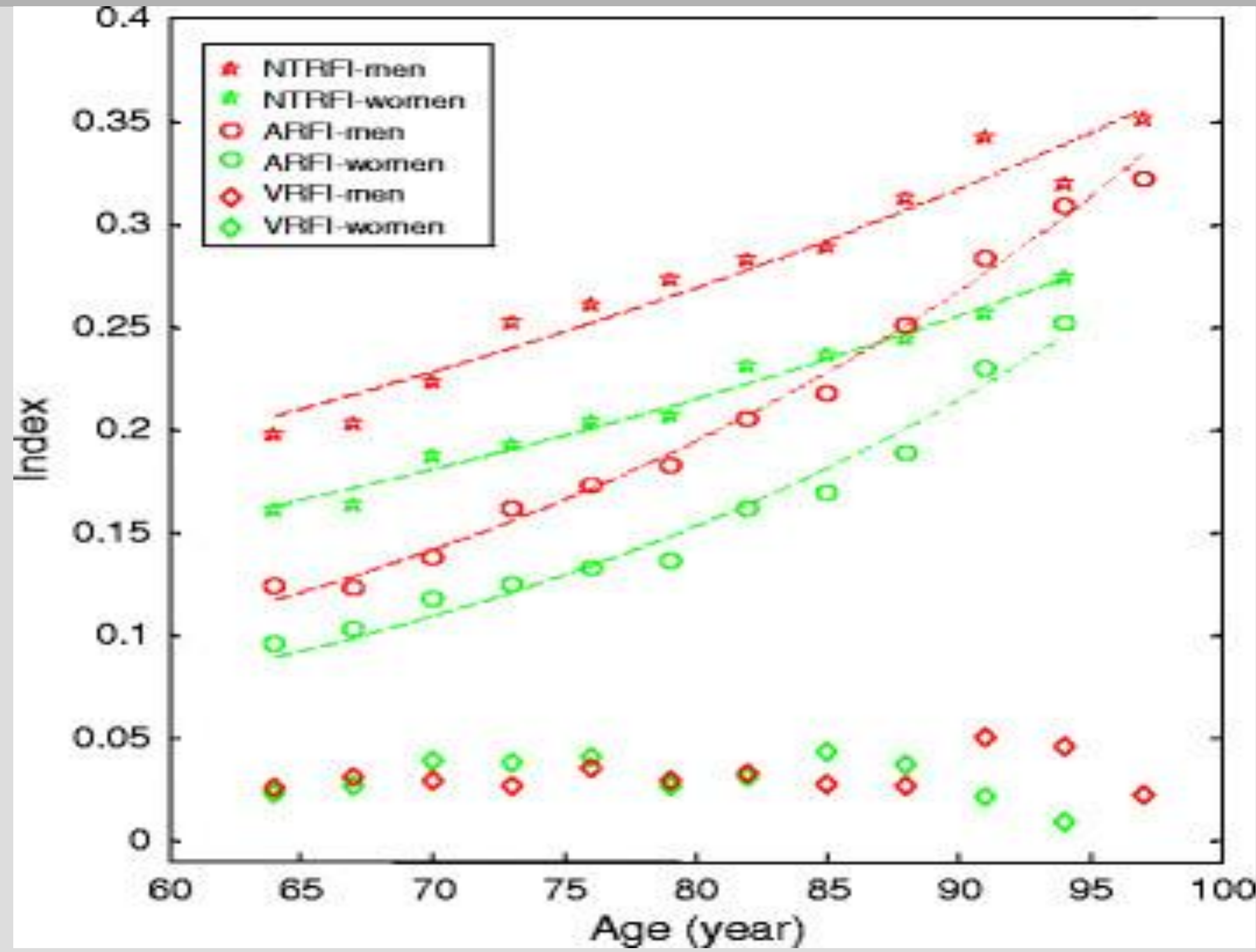
It arises due to the stochasticity of age-related deficit accumulation across the life course. (People are frail when they have lots of things wrong with them.)

Figure 1: Prevalence of all-cause dementia in population-based studies of individuals aged ≥ 90 years



Yang, Z. et al. (2013) Dementia in the oldest old
Nat. Rev. Neurol. doi:10.1038/nrneurol.2013.105

Size matters: Dementia risk increases more by the *number* than by the *nature* of the risk factors



Discriminating risk for death & dementia

Receiver operating characteristic curves for each risk factor index, and the two most significant non-traditional and traditional individual risk factors in predicting 4-year dementia and death (N=18,592, 50+ years)

	Diagnosis of Dementia (n = 85)			Died (n = 496)		
	Area	95% CI	p	Area	95% CI	p
Combined Risk Factor Index	.70	.65-.76	<.001	.68	.66-.71	<.001
Non-traditional risk factor index	.69	.63-.75	<.001	.68	.65-.70	<.001
Traditional risk factor index	.66	.59-.72	<.001	.63	.60-.65	<.001
Poor self-reported health	.61	.55-.68	<.000	.65	.63-.68	<.001
Difficulty stooping, kneeling or crouching?	.64	.58-.71	<.001	.60	.57-.63	<.001
History of heart attack?	.57	.51-.64	.02	.58	.56-.61	<.001
Are you physically inactive?	.57	.50-.64	.03	.57	.54-.60	<.001

Discriminating risk for death & dementia

Receiver operating characteristic curves for each risk factor index, and the two most significant non-traditional and traditional individual risk factors in predicting 4-year dementia and death (N=18,592, 50+ years)

	Diagnosis of Dementia (n = 85)			Died (n = 496)		
	Area	95% CI	p	Area	95% CI	p
Combined Risk Factor Index	.70	.65-.76	<.001	.68	.66-.71	<.001
Non-traditional risk factor index	.69	.63-.75	<.001	.68	.65-.70	<.001
Traditional risk factor index	.66	.59-.72	<.001	.63	.60-.65	<.001
Poor self-reported health	.61	.55-.68	<.000	.65	.63-.68	<.001
Difficulty stooping, kneeling or crouching?	.64	.58-.71	<.001	.60	.57-.63	<.001
History of heart attack?	.57	.51-.64	.02	.58	.56-.61	<.001
Are you physically inactive?	.57	.50-.64	.03	.57	.54-.60	<.001

Discriminating risk for death & dementia

Receiver operating characteristic curves for each risk factor index, and the two most significant non-traditional and traditional individual risk factors in predicting 4-year dementia and death (N=18,592, 50+ years)

	Diagnosis of Dementia (n = 85)			Died (n = 496)		
	Area	95% CI	p	Area	95% CI	p
Combined Risk Factor Index	.70	.65-.76	<.001	.68	.66-.71	<.001
Non-traditional risk factor index	.69	.63-.75	<.001	.68	.65-.70	<.001
Traditional risk factor index	.66	.59-.72	<.001	.63	.60-.65	<.001
Poor self-reported health	.61	.55-.68	<.000	.65	.63-.68	<.001
Difficulty stooping, kneeling or crouching?	.64	.58-.71	<.001	.60	.57-.63	<.001
History of heart attack?	.57	.51-.64	.02	.58	.56-.61	<.001
Are you physically inactive?	.57	.50-.64	.03	.57	.54-.60	<.001

Discriminating risk for death & dementia

Receiver operating characteristic curves for each risk factor index, and the two most significant non-traditional and traditional individual risk factors in predicting 4-year dementia and death (N=18,592, 50+ years)

	Diagnosis of Dementia (n = 85)			Died (n = 496)		
	Area	95% CI	p	Area	95% CI	p
Combined Risk Factor Index	.70	.65-.76	<.001	.68	.66-.71	<.001
Non-traditional risk factor index	.69	.63-.75	<.001	.68	.65-.70	<.001
Traditional risk factor index	.66	.59-.72	<.001	.63	.60-.65	<.001
Poor self-reported health	.61	.55-.68	<.000	.65	.63-.68	<.001
Difficulty stooping, kneeling or crouching?	.64	.58-.71	<.001	.60	.57-.63	<.001
History of heart attack?	.57	.51-.64	.02	.58	.56-.61	<.001
Are you physically inactive?	.57	.50-.64	.03	.57	.54-.60	<.001

Predicting death and dementia

Adjusted 4-year predictive validity of the Nontraditional (NT), Traditional (T) and Combined (C) Risk Factor Index (RFI) for dementia and death (N=18,592, 50+ years)

	Diagnosis of Dementia (n = 85)			Died (n = 496)		
	OR	95% CI	<i>p</i>	OR	95% CI	<i>p</i>
Model 1						
NTRFI (per 0.1 score)	1.33	1.10-1.59	<.01	1.42	1.31-1.54	<.001
Model 2						
NTRFI (per 0.1 score)	1.34	1.13-1.60	.001	1.48	1.37-1.60	<.001
TRFI (per 0.1 score)	1.42	1.18-1.72	<.001	1.17	1.07-1.28	.001
Model 3						
CRFI (per 0.1 score)	1.65	1.37-1.98	<.001	1.66	1.53-1.80	<.001

Adjusted for age, sex and education

Streniczuk R, et al., Traditional and non-traditional risk factors and dementia risk. In preparation.

Predicting death and dementia

Adjusted 4-year predictive validity of the Nontraditional (NT), Traditional (T) and Combined (C) Risk Factor Index (RFI) for dementia and death (N=18,592, 50+ years)

	Diagnosis of Dementia (n = 85)			Died (n = 496)		
	OR	95% CI	<i>p</i>	OR	95% CI	<i>p</i>
Model 1						
NTRFI (per 0.1 score)	1.33	1.10-1.59	<.01	1.42	1.31-1.54	<.001
Model 2						
NTRFI (per 0.1 score)	1.34	1.13-1.60	.001	1.48	1.37-1.60	<.001
TRFI (per 0.1 score)	1.42	1.18-1.72	<.001	1.17	1.07-1.28	.001
Model 3						
CRFI (per 0.1 score)	1.65	1.37-1.98	<.001	1.66	1.53-1.80	<.001

Adjusted for age, sex and education

Streniczuk R, et al., Traditional and non-traditional risk factors and dementia risk. In preparation.

Predicting death and dementia

Adjusted 4-year predictive validity of the Nontraditional (NT), Traditional (T) and Combined (C) Risk Factor Index (RFI) for dementia and death (N=18,592, 50+ years)

	Diagnosis of Dementia (n = 85)			Died (n = 496)		
	OR	95% CI	<i>p</i>	OR	95% CI	<i>p</i>
Model 1						
NTRFI (per 0.1 score)	1.33	1.10-1.59	<.01	1.42	1.31-1.54	<.001
Model 2						
NTRFI (per 0.1 score)	1.34	1.13-1.60	.001	1.48	1.37-1.60	<.001
TRFI (per 0.1 score)	1.42	1.18-1.72	<.001	1.17	1.07-1.28	.001
Model 3						
CRFI (per 0.1 score)	1.65	1.37-1.98	<.001	1.66	1.53-1.80	<.001

Adjusted for age, sex and education

Streniczuk R, et al., Traditional and non-traditional risk factors and dementia risk. In preparation.

Predicting death and dementia

Adjusted 4-year predictive validity of the Nontraditional (NT), Traditional (T) and Combined (C) Risk Factor Index (RFI) for dementia and death (N=18,592, 50+ years)

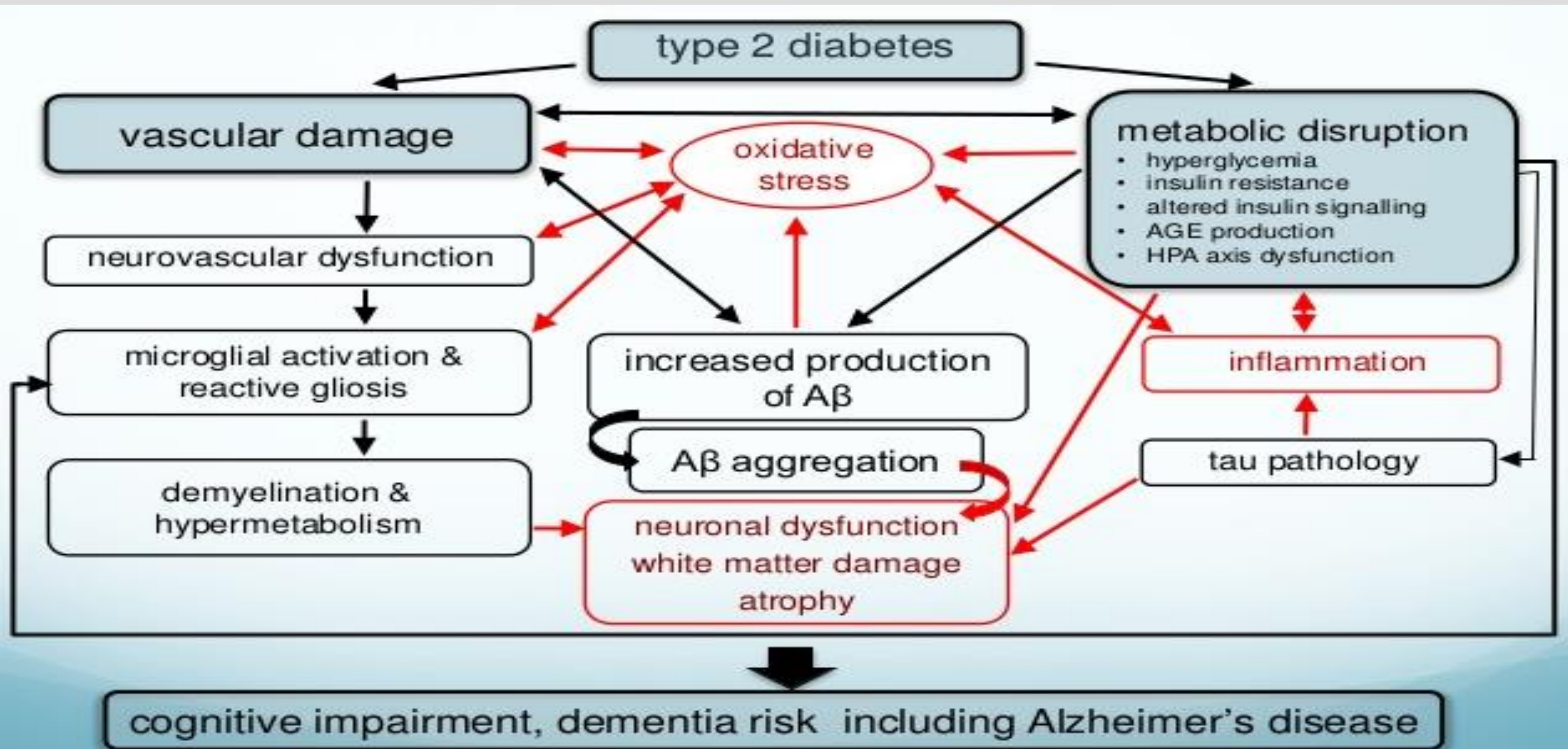
	Diagnosis of Dementia (n = 85)			Died (n = 496)		
	OR	95% CI	<i>p</i>	OR	95% CI	<i>p</i>
Model 1						
NTRFI (per 0.1 score)	1.33	1.10-1.59	<.01	1.42	1.31-1.54	<.001
Model 2						
NTRFI (per 0.1 score)	1.34	1.13-1.60	.001	1.48	1.37-1.60	<.001
TRFI (per 0.1 score)	1.42	1.18-1.72	<.001	1.17	1.07-1.28	.001
Model 3						
CRFI (per 0.1 score)	1.65	1.37-1.98	<.001	1.66	1.53-1.80	<.001

Adjusted for age, sex and education

Frailty increases the risk of dementia

Criterion	Met?
Effect size	✓ Small-very large
Statistically significant	✓ Yes
Dose response	✓ Yes
Reproducible	✓ Yes
Exposure before outcome	✓ Yes
Biologically plausible	✓ Post hoc

Type 2 Diabetes: A Compromised Brain



adapted from: Meusel et al., J Curr Clin Care 2012; 2(1):6-16.

A single-nucleotide flaw in the presenilin-1 gene (the Paisa mutation) is stalking 25 families in the hilly Antioquia region of northwest Colombia



How understanding overall health affects the assessment of people who present with a memory complaint

Four questions in a patient with a memory complaint:

- Is this a memory problem?
- What type?
- What is the cause?
- What should be done?

Rockwood K, MacKnight C. *Understanding Dementia: a primer*. Halifax: Pottersfield Press, 2001.

Is it a memory problem?

Other cognitive items that can mimic memory impairment

- Disordered language
- Disordered attention

Other health problems that can mimic memory impairment

- Impaired hearing
- Impaired mood

Rockwood K, MacKnight C. *Understanding Dementia: a primer*. Halifax: Pottersfield Press, 2001.

If so, what type?

A. Memory problem in isolation?

- (VS. Impairment in other aspect of cognition)
- (VS. Impairment in other aspects of brain & physical health)

B. How severe?

C. Does it interfere with function?

- (Do other aspects of brain & physical health interfere with function?)

What is the cause?

- Single cause (Alzheimer disease)
- Mixed causes (mixed brain lesions; role of physical health & medications)

Rockwood K, MacKnight C. *Understanding Dementia: a primer*. Halifax: Pottersfield Press, 2001.

What should be done?

- Specific dementia medications
- Exercise
- Medication review
- Other psychosocial intervention
 - Socialization
 - Power of attorney

Rockwood K, MacKnight C. *Understanding Dementia: a primer*. Halifax: Pottersfield Press, 2001.

Special considerations in diagnosing dementia in someone who is frail?

- Delirium is more common
- Mobility impairment is more common
- Competing factors that exacerbates cognitive impairment are more common (e.g. Anticholinergic drug burden which includes factors that increases risk to for example cardiovascular disease).

Summary

- Is frailty a risk for dementia?
- Is it important that frailty is a risk for dementia?
- How should we approach dementia diagnosis in a person who is frail?
- Are there special considerations in diagnosing dementia in a person who is frail?

Acknowledgments

Funding sources:

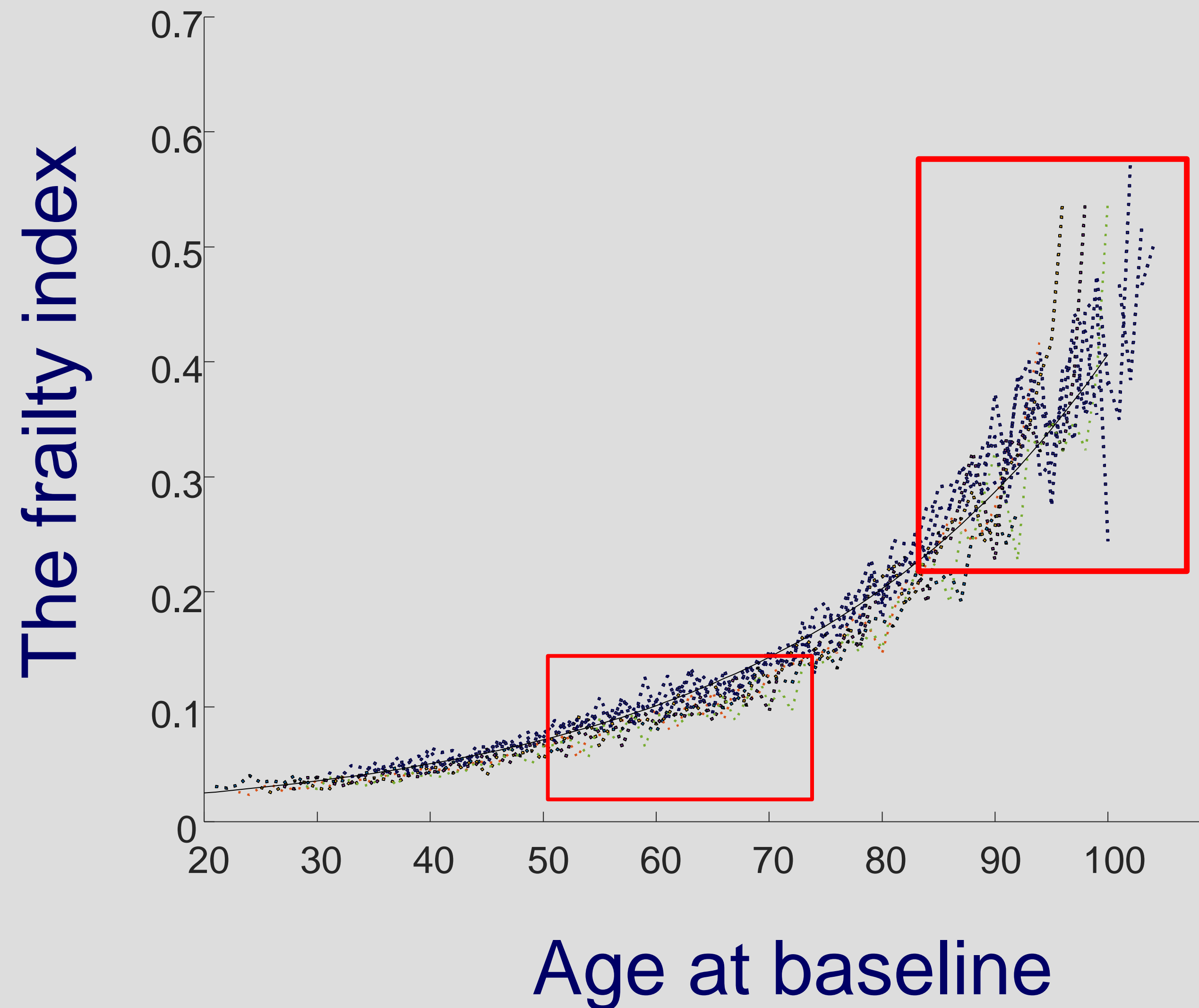
- Canadian Institutes of Health Research
- Fountain Family Innovation Fund , Queen Elizabeth II Health Sciences Foundation
- Nova Scotia Health Research Foundation
- Mathematics, Information Technology & Computer Science program, National Research Council of Canada
- Alzheimer Society of Canada
- National Natural Science Foundation of China
- China Scholarship Council
- Dalhousie Medical Research Foundation

Colleagues & students:

- Arnold Mitnitski
- Olga Theou
- Melissa Andrew
- Samuel Searle
- Lindsay Wallace
- Oliver Hathaway
- Judah Goldstein
- Kathryn Hominick
- Swadhin Taneja
- Spenser Farrell
- Quikui Hao
- Rob Beiko
- Andrew Rutenburg
- Xiaowei Song
- Susan Howlett



As the mean Frailty Index score increases, so does its variability.



*Increasing mortality with age

*Broadening of FI distribution with age,

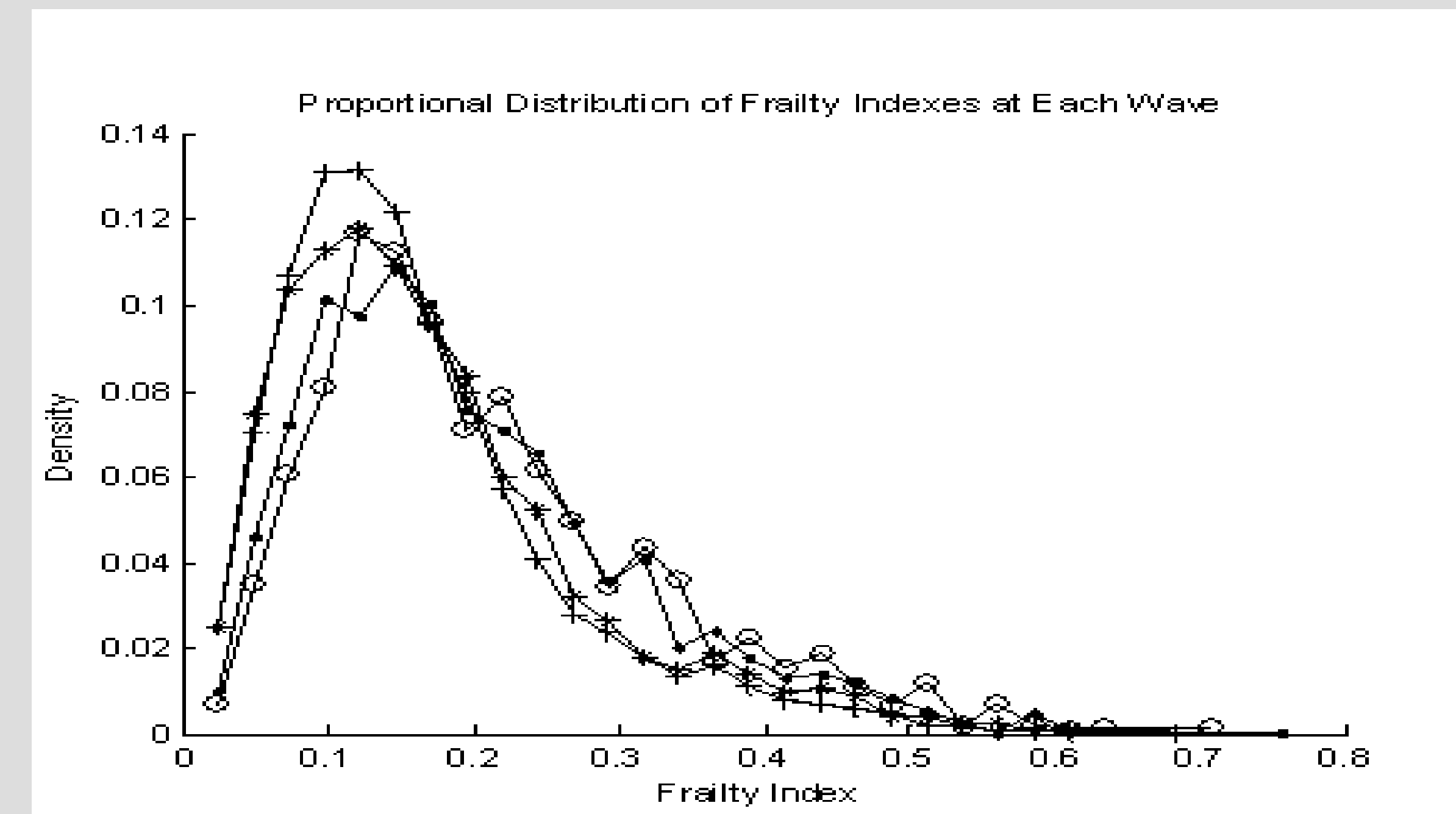
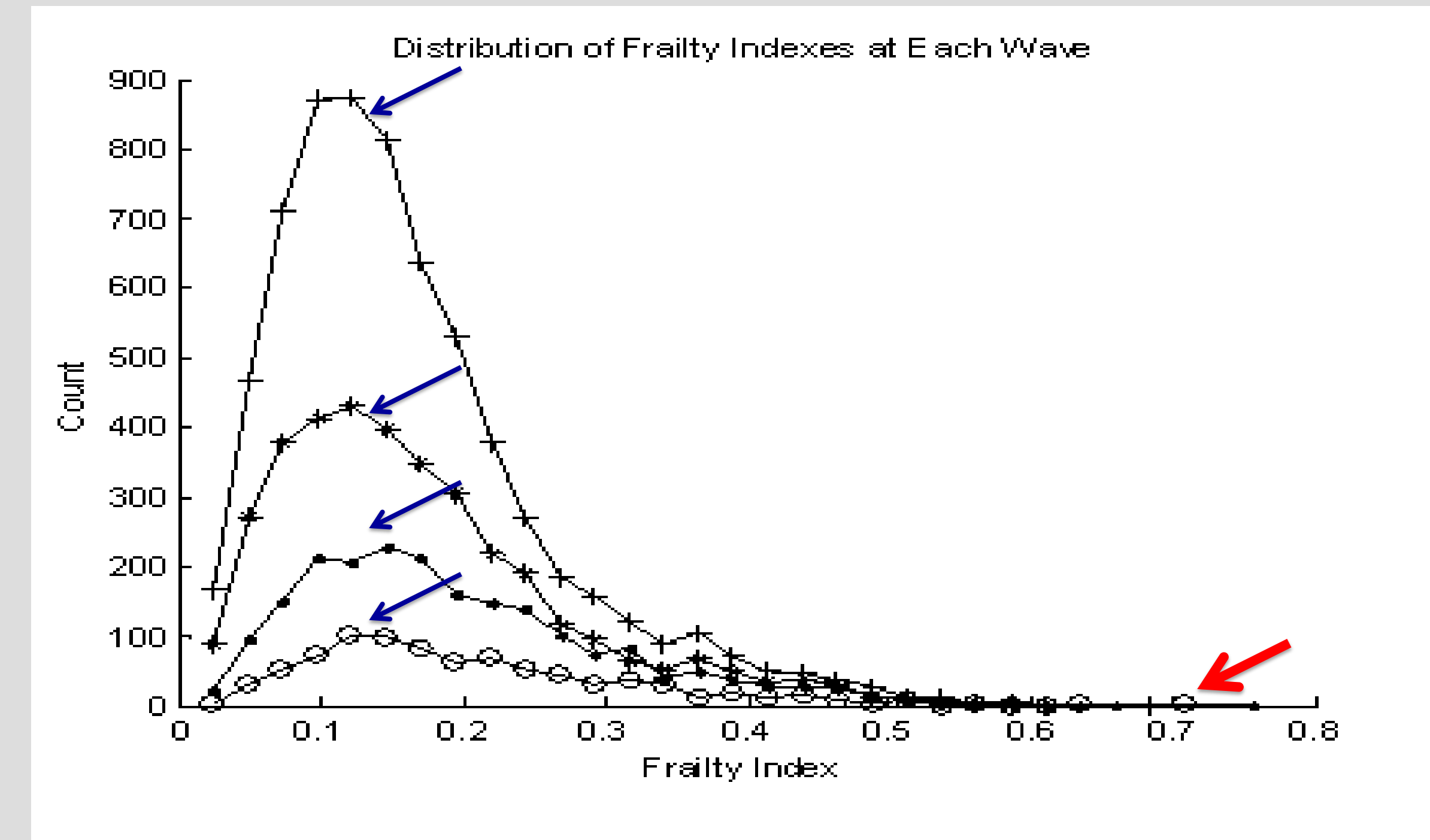
*Nonlinear increase of the FI with age,

*Higher mortality with higher FI scores

Distribution of the Frailty Index

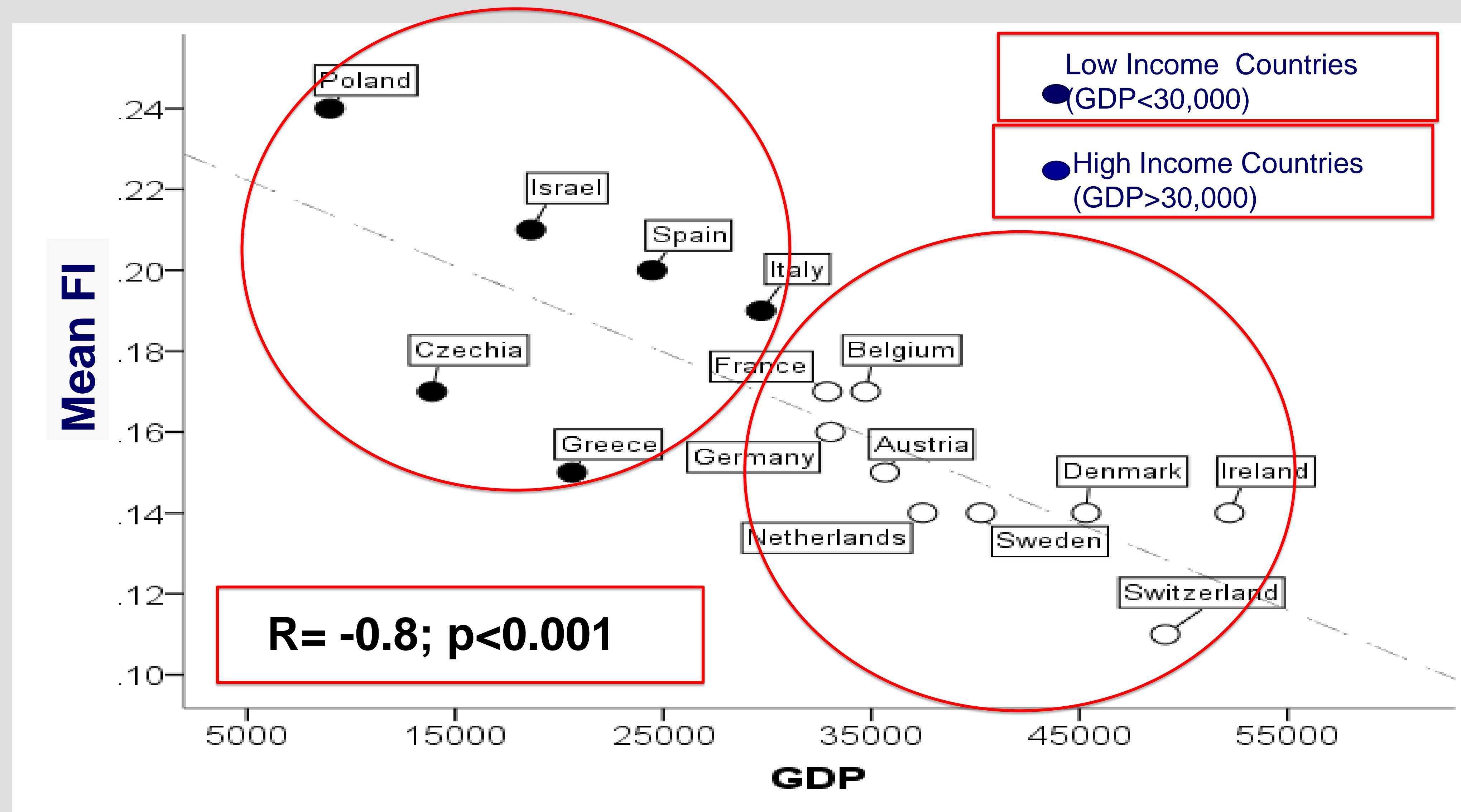
4 waves of the Chinese Longitudinal Health and Longevity Study;

6664 people ages 80-99



Frailty and National Income

Mean FI and Gross Domestic Product (GDP)



Comprehensive Geriatric Assessment Form: **function signals illness severity**

Instrumental
Activities of Daily
Living

Baseline
(two weeks ago)

Current
(today)

O IADLs		Baseline (two weeks ago)			Current (today)		
		IND	ASST	DEP	IND	ASST	DEP
	Cooking	IND	ASST	DEP	IND	ASST	DEP
	Cleaning	IND	ASST	DEP	IND	ASST	DEP
	Shopping	IND	ASST	DEP	IND	ASST	DEP
	Medications	IND	ASST	DEP	IND	ASST	DEP
	Driving	IND	ASST	DEP	IND	ASST	DEP
	Banking	IND	ASST	DEP	IND	ASST	DEP

Comprehensive Geriatric Assessment Form: **function signals illness severity**

Instrumental
Activities of Daily
Living

Baseline
(two weeks ago)

Current
(today)

O IADLs	Cooking	Baseline (two weeks ago)			Current (today)		
		IND	ASST	DEP	IND	ASST	DEP
	Cleaning	IND	ASST	DEP	IND	ASST	DEP
	Shopping	IND	ASST	DEP	IND	ASST	DEP
	Medications	IND	ASST	DEP	IND	ASST	DEP
	Driving	IND	ASST	DEP	IND	ASST	DEP
	Banking	IND	ASST	DEP	IND	ASST	DEP

Comprehensive Geriatric Assessment Form: function allows care planning

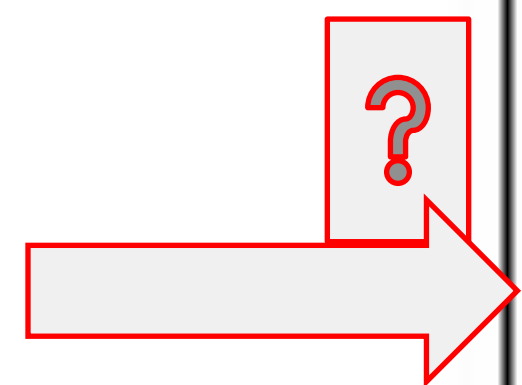
Instrumental
Activities of Daily
Living

Baseline
(two weeks ago)


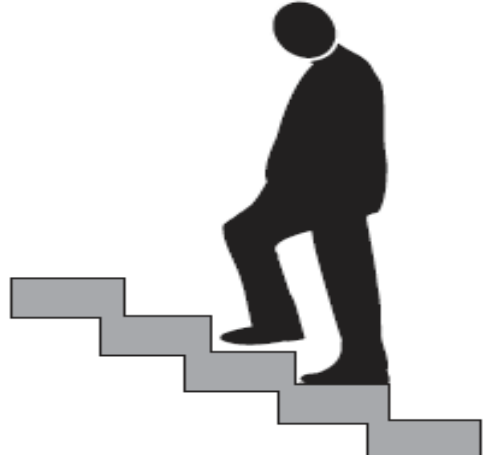




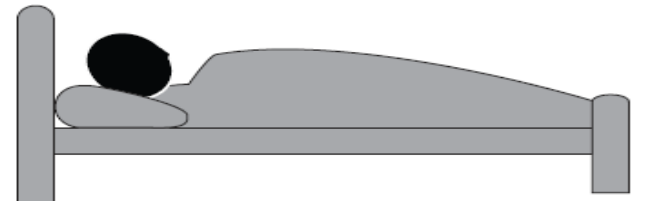










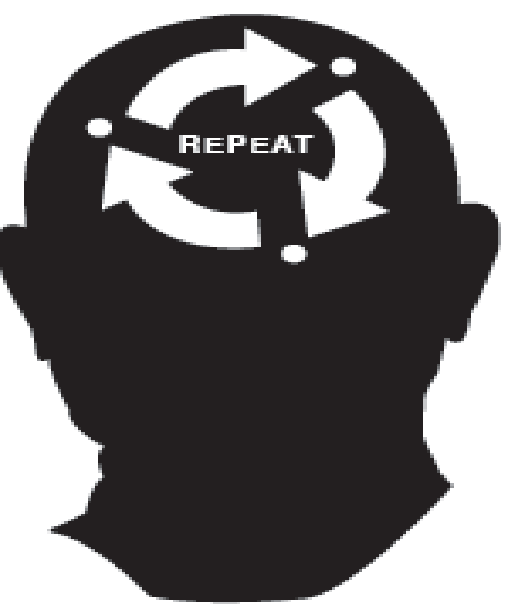


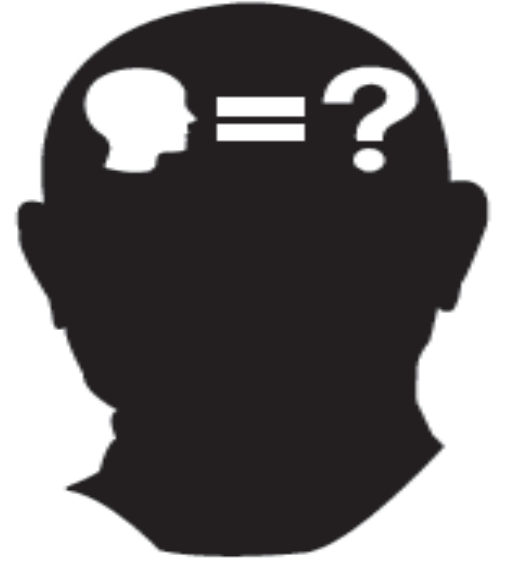
Current
(today)

IADLs	Baseline (two weeks ago)	Current (today)
Cooking	IND	DEP
Cleaning	IND	DEP
Shopping	IND	DEP
Medications	IND	DEP
Driving	IND	DEP
Banking	IND	DEP

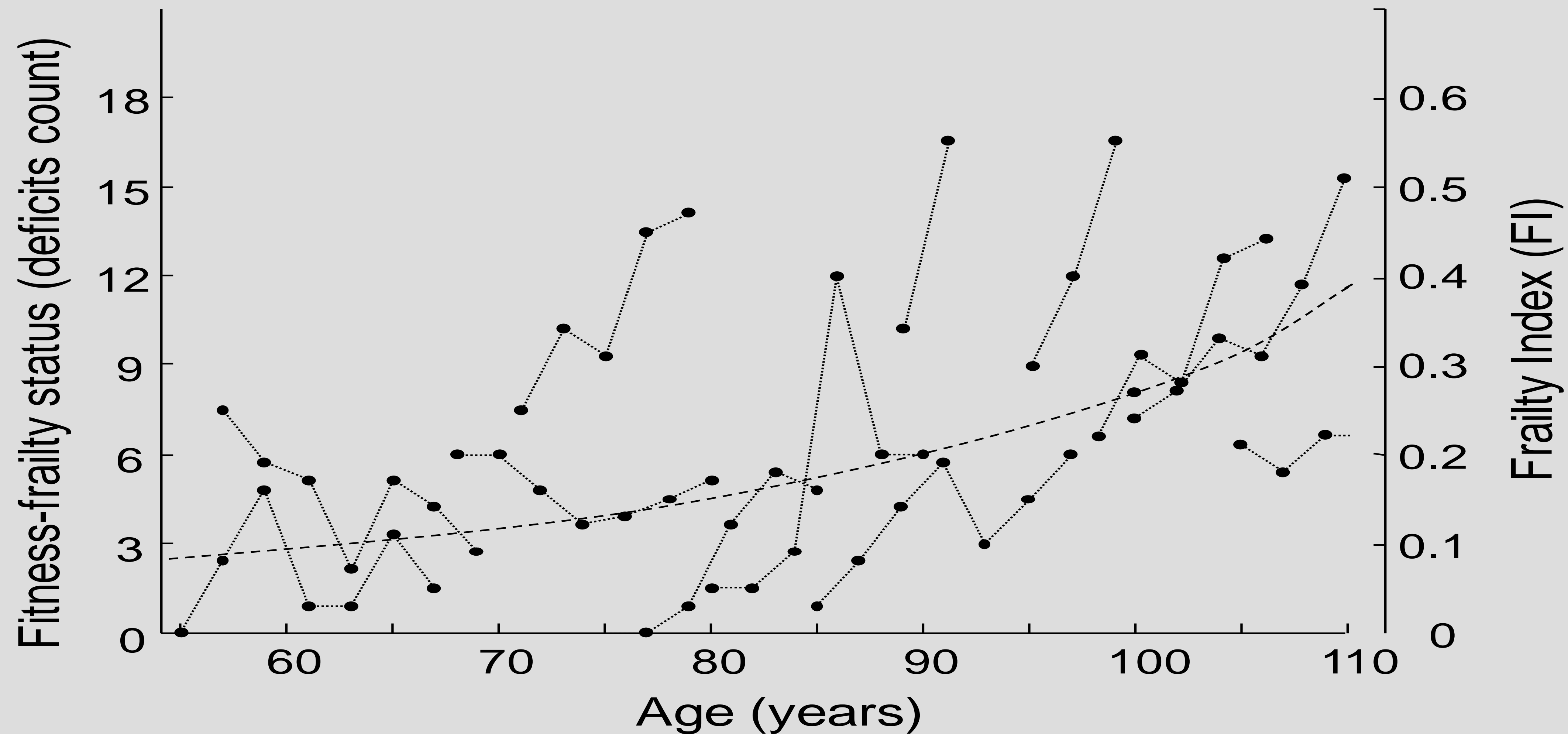
ASST (Assisted) is also listed in the original image for Baseline and Current for all activities.



Pictorial Frailty Assessment

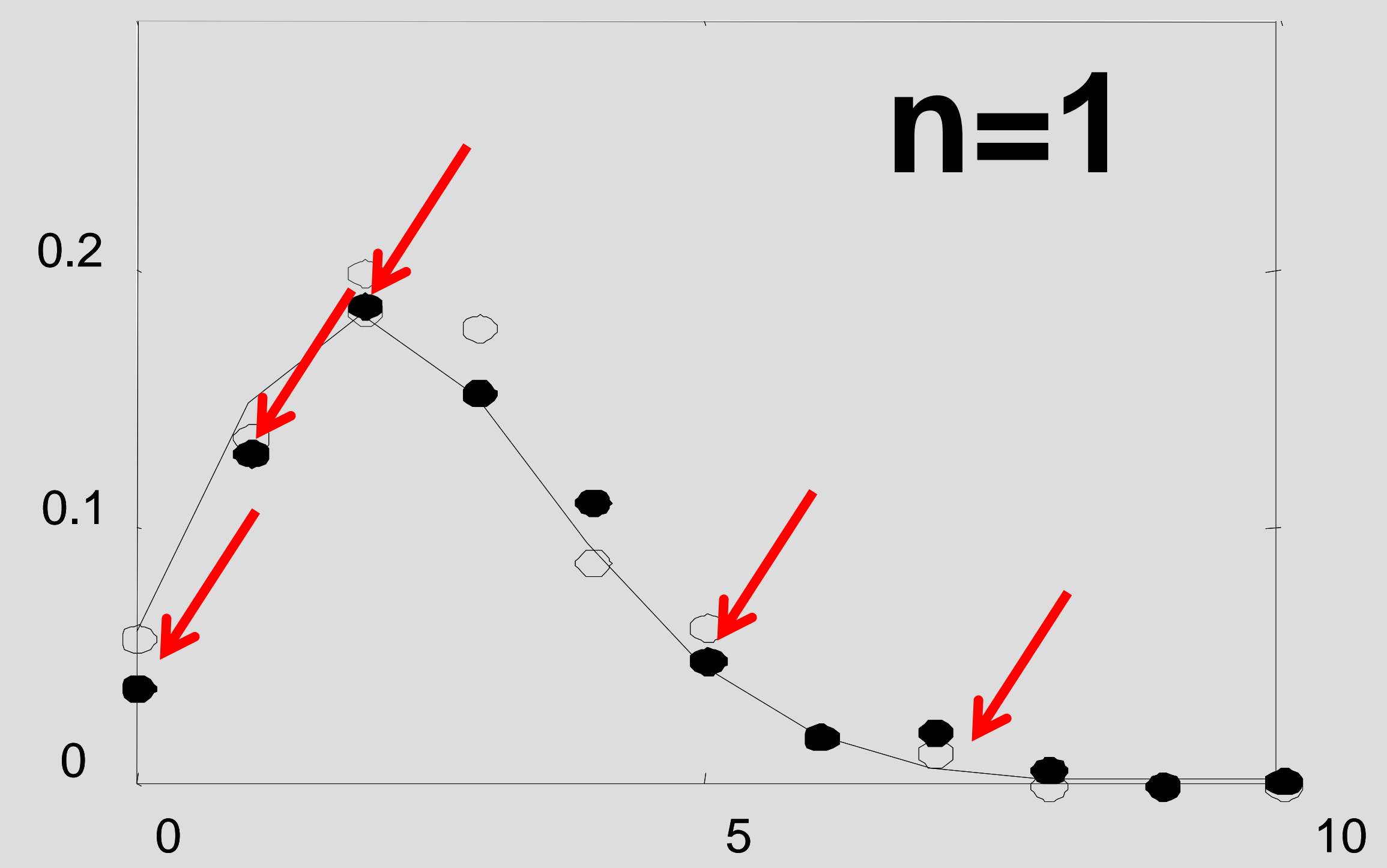
	1	2	3	4	5	6	7
Mobility							
Function							
Cognition							

Individuals show many trajectories in accumulation health deficits



Outcomes of people with one health deficit at baseline

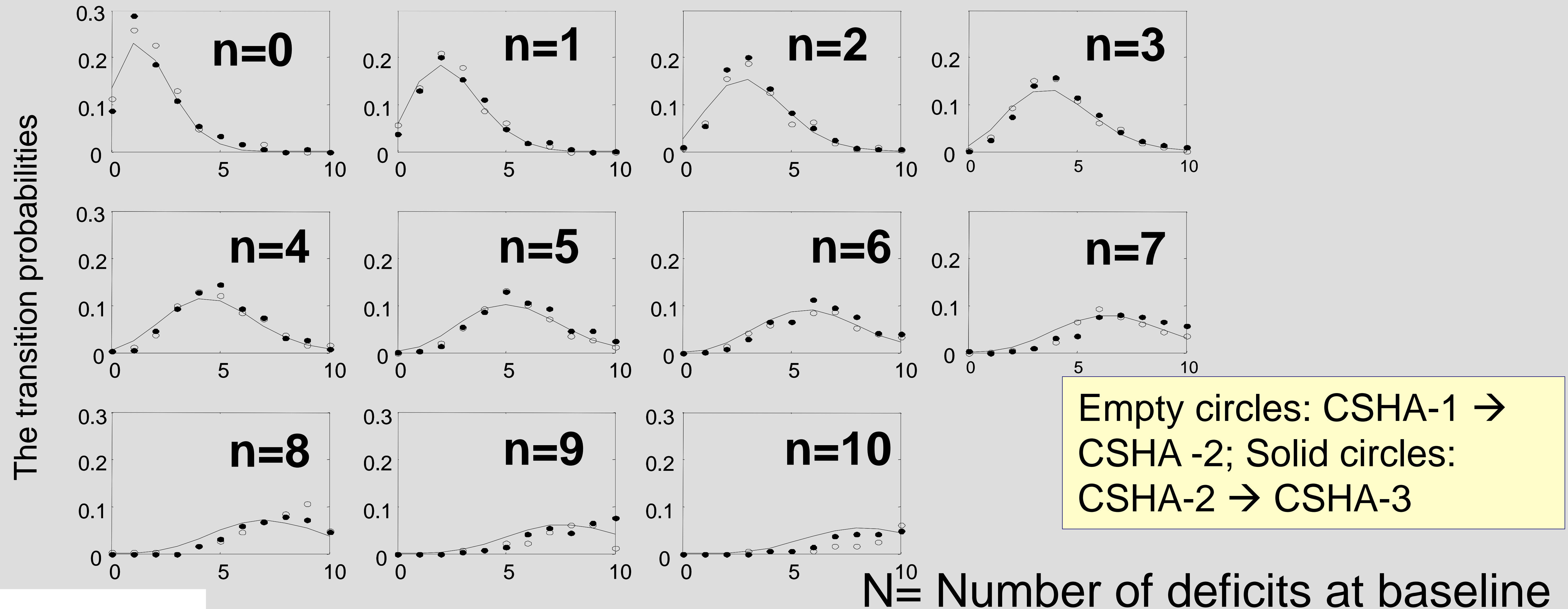
The transition probabilities



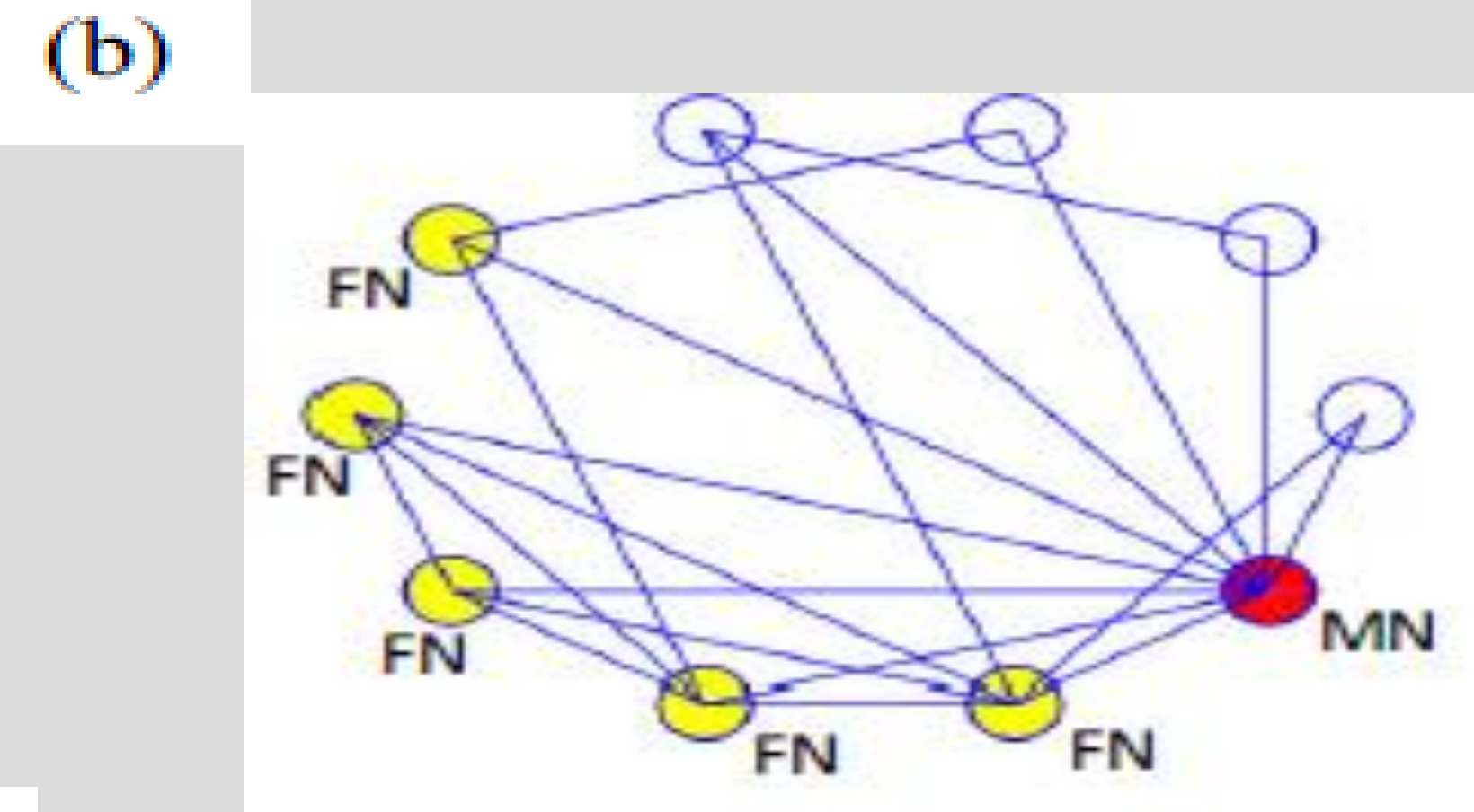
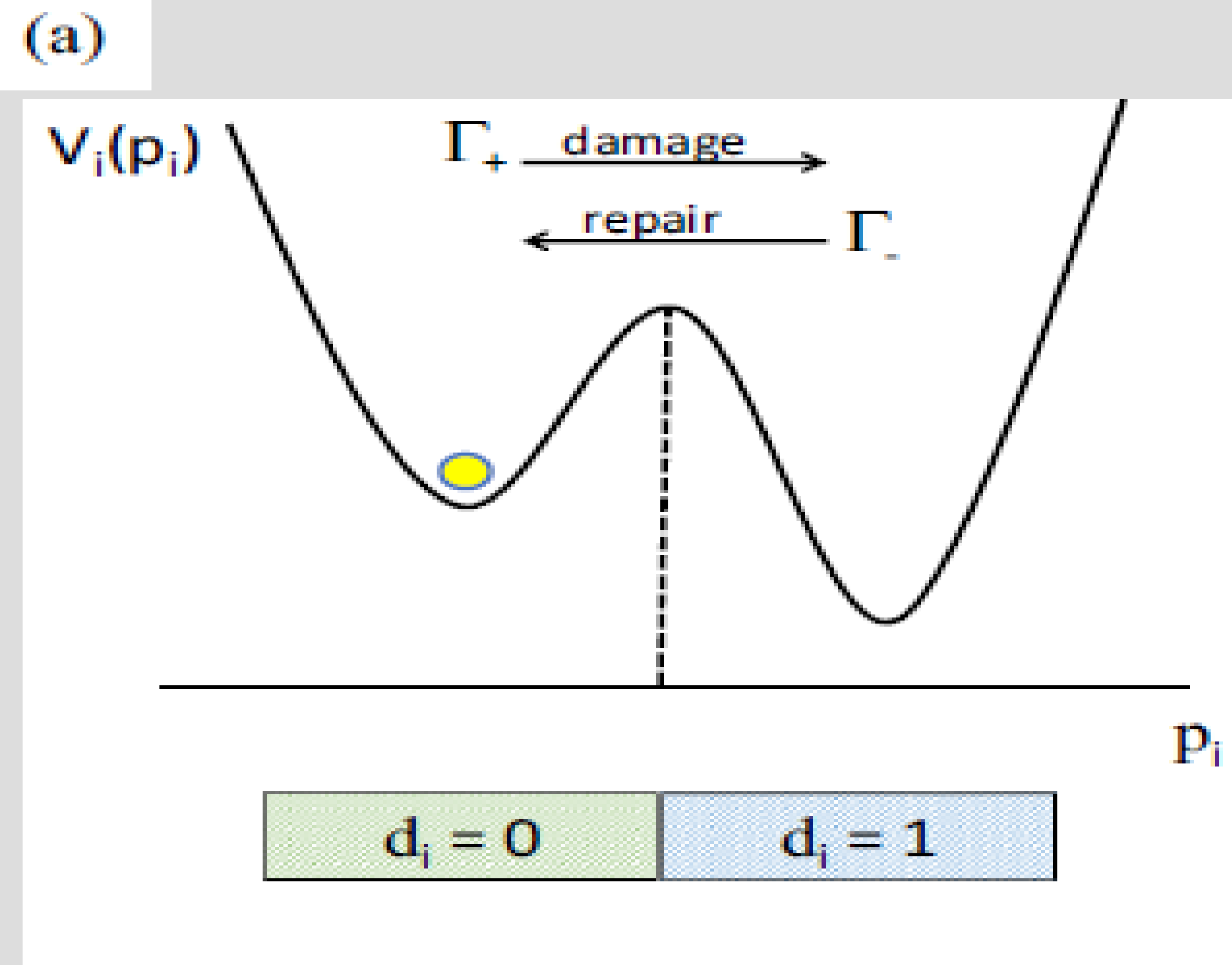
Number of deficits

Legend:
Empty circles: CSHA-1 → -2
Solid circles: CSHA-2 → 3

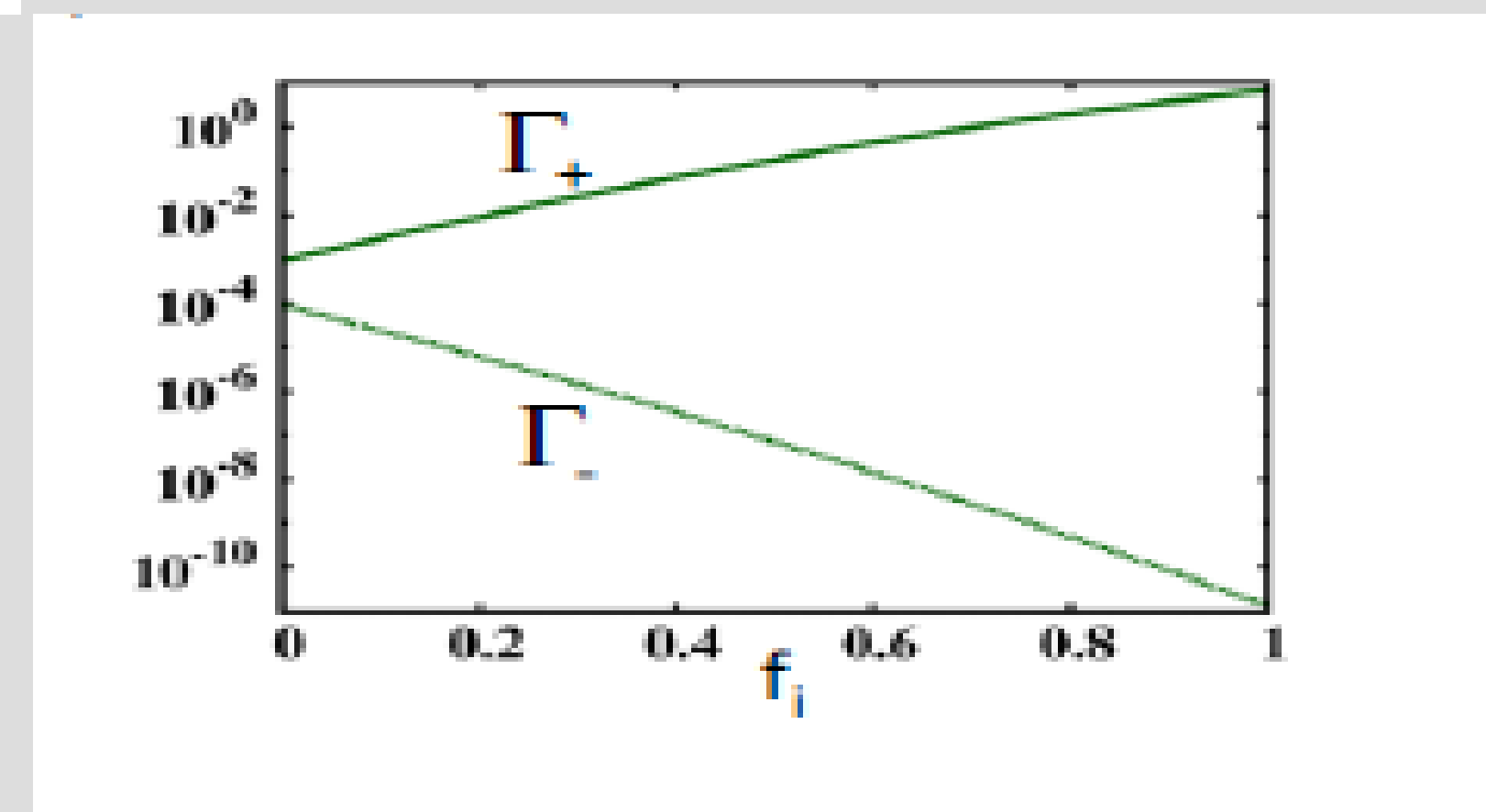
Change in the number of deficits is orderly, in relation to the number of deficits at baseline.



How deficits arise and propagate



(c)



How the model compares with Canadian data.

